Spectral evidence for local-moment ferromagnetism in the van der Waals metals Fe₃GaTe₂ and Fe₃GeTe₂

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Magnetism in two-dimensional (2D) materials has attracted considerable attention recently for both fundamental understanding of magnetism and its tunability towards device applications. The isostructural Fe₃GeTe₂ and Fe₃GaTe₂ are two members of the Fe-based van der Waals (vdW) ferromagnet family, but exhibit very different Curie temperatures (T_C) of 210 and 360 K, respectively. Here, by using angle-resolved photoemission spectroscopy and density functional theory, we systematically compare the electronic structures of the two compounds. Qualitative similarities in the Fermi surface can be found between the two compounds, with expanded hole pockets in Fe₃GaTe₂ suggesting additional hole carriers compared to Fe₃GeTe₂. Interestingly, we observe almost no band shift in Fe₃GaTe₂ across its T_C of 360 K, compared to a small shift in Fe₃GeTe₂ across its T_C of 210 K. The weak temperature-dependent evolution strongly deviates from the expectations of an itinerant Stoner mechanism. Our results suggest that itinerant electrons have minimal contributions to the enhancement of T_C in Fe₃GaTe₂ compared to Fe₃GeTe₂, and that the nature of ferromagnetism in these Fe-based vdW ferromagnets must be understood with considerations of the electron correlations.

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I. INTRODUCTION

The recently discovered van der Waals (vdW) family of ferromagnets exhibits Curie temperatures (T_C) ranging from 30 K to above room temperature [1–4]. The remarkable preservation of long-range ferromagnetic order in these materials in the two-dimensional (2D) regime position them as a promising class of materials for the development of next-generation spintronic devices [5–9]. Equally importantly, these vdW materials offer a new platform to probe 2D magnetism. Our understanding of magnetism has been developed from two opposing limits. One approach is based on the weak-coupling picture where ferromagnetism arises from

spontaneous spin splitting of the itinerant electronic bands near the Fermi level (E_F) beginning at T_C [10–19], which can only occur in metals. The other approach is based on a strong-coupling picture where electrons are localized and magnetism arises from the Heisenberg exchange coupling of the local moments, where the magnetic exchange splitting has no temperature dependence across T_C , and is often associated with insulators [10,12,20-23]. In real materials, while the two limits exist, many compounds live in a regime where both mechanisms contribute. One such example is the iron-based superconductors (FeSCs) [24,25], where electron correlations are moderate in between the strongly localized Mott physics of the cuprates and the itinerant spin-densitywave chromium metal. Neutron scattering identifies itinerant spin excitations at low energies with large fluctuating moments up to high energies [26]. Even contradictory reports of

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FIG. 1. (a) T_C and saturated moment (M_{sat}) comparison for reported Fe-based vdW magnets. All are ferromagnets except (Fe, Co)₅GeTe₂, which is an antiferromagnet. The inset shows the crystal structure for Fe₃XTe₂ (X = Ge, Ga). (b), (c) Temperature-dependent magnetization curves of Fe₃XTe₂. Zero-field-cooled (ZFC) and field-cooled (FC) curves indicate a ferromagnetic to paramagnetic transition at 210 K for Fe₃GeTe₂ and 360 K for Fe₃GaTe₂. (d) Core level photoemission spectra of Fe₃XTe₂. (e) DFT calculations for the ferromagnetic ground state, with the spin up and spin down bands indicated in red and blue, respectively. Spin-orbital coupling is not included. Pairs of arrows indicate the same features for comparison between the two compounds.

temperature-dependent exchange splitting have left a standing debate on the nature of magnetism in Fe and Ni metals [27].

The vdW ferromagnets can be largely grouped into two families, the insulating Cr-based compounds such as $Cr_2Ge_2Te_6$ [1,28] and CrI_3 [2], and the metallic Fe-based compounds such as $Fe_n XTe_2$ (n = 3-5; X = Ge, Ga) (FGTs) [29]. The ferromagnetism in the insulating Cr-based compounds indeed can be understood by an anisotropic Heisenberg model where correlations between local moments persist to well above T_C [20,21]. As a result, the electronic structure only exhibits very subtle evolution across T_C [30] while the FGTs are quite different. Consisting of Te-sandwiched vdW slabs, the various members of this family differ structurally in the number of Fe sites within each slab as well as the number of slabs within a unit cell dictated by the stacking order [7,31–39]. Notably, the T_C 's of the FGTs are close to or even above room temperature [Fig. 1(a)] [7,31–37]. As metals, the FGTs are often referred to as itinerant magnets. However, ample evidence suggest a coexistence of both local moments and itinerant electrons. $Fe_{3-x}GeTe_2$ with a T_C that varies between 140 to 220 K [3,4], in particular, has been demonstrated by neutron scattering to exhibit a dual nature of magnetic excitations [40]. Angle-resolved photoemission spectroscopy (ARPES) measurements indicate a deviation from Stone-type spin splitting across T_C as well as spectral weight transfer suggestive of Kondo behavior [11,41-44]. Very recently, Fe₃GaTe₂, isostructural to Fe₃GeTe₂, has been synthesized and shown to exhibit a remarkable above-room temperature T_C of 360 K, along with a high saturation magnetic moment, significant perpendicular magnetic anisotropy energy density, and a large anomalous Hall angle at room temperature [39,45–48]. These findings highlight the potential of Fe₃GaTe₂ as an exciting material for applications. The identical crystal structure but drastically different T_C 's in these two compounds offer an opportunity to probe into the nature of the magnetism in these materials. Here, via systematic ARPES measurements and density functional theory calculations, we compare and contrast the electronic structure of Fe₃GaTe₂ and Fe₃GeTe₂. We find Fe₃GaTe₂ to be an effectively hole-doped version of Fe₃GeTe₂. In a large energy range of the valence bands, we identify a separation of the spectral weight that

seems to be consistent with the predicted Fe spin up and spin down states. However, we find no observable shift in the electronic structures of Fe₃GaTe₂ across its T_C , compared to a subtle shift for Fe₃GeTe₂. Taken all together, the origins of magnetism in both Fe₃GaTe₂ and Fe₃GeTe₂ deviate strongly from the expectations of the itinerant Stoner model, with Fe₃GaTe₂ exhibiting an even stronger local moment behavior. Our results indicate that the local moments are crucial for explaining the nature of ferromagnetism in FGTs, and are likely responsible for the much enhanced T_C in Fe₃GaTe₂.

II. RESULTS AND DISCUSSION

High-quality Fe₃GaTe₂ and Fe₃GeTe₂ single crystals were synthesized by a chemical transport method [40]. ARPES measurements were carried out at beamline 5-2 of the Stanford Synchrotron Radiation Lightsource, ESM (21ID-I) beamline of the National Synchrotron Light Source II, and beamlines 7.0.2.1, 10.0.1, and 4.0.3 of the Advanced Light Source, using DA30, DA30, R4000, and R8000 electron analyzers, respectively. The overall energy and angular resolutions were 15 meV and 0.1°, respectively. All data shown in the main text were taken with 132 eV photons, with additional photon energy-dependence data shown in the Supplemental Material (SM) [49]. All data were taken at 15 K unless otherwise noted. The DFT calculations were carried out by using WIEN2K package which uses the full-potential augmented plane wave plus local orbital as the basis [50]. The Perdew-Burke-Ernzefhof (PBE) generalized gradient approximation (GGA) was employed for the exchange-correlation functional [51] and a $16 \times 16 \times 3$ k-point mesh for selfconsistent calculation.

As depicted in Fig. 1(a), Fe₃XTe₂ has a layered hexagonal crystal structure in the space group P63/mmc (No. 194) [52]. The lattice parameter for Fe₃GaTe₂ (a = 4.07 Å, c = 16.1 Å) and Fe₃GeTe₂ (a = 3.99 Å, c = 16.3 Å) are similar, as previously reported [39,52]. The unit cell consists of two vdW slabs, each with two nonequivalent Fe sites, Fe I and Fe II, and has the symmetry operators C_{3z} , C_{2y} and P (inversion) that enforce the emergence of topological nodal lines in the presence of ferromagnetism, giving rise to a tunable intrinsic



FIG. 2. (a) Fermi surface mapping with LH and LV polarized light for Fe₃GeTe₂, with BZ boundaries labeled by red lines. (b) Measured dispersions along the $\overline{M} \cdot \overline{K} \cdot \overline{\Gamma} \cdot \overline{K}$ direction overlaid with DFT calculations that are renormalized by a factor of 1.6. (c) LV and LH polarization dependent dispersions measured along the $\overline{M} \cdot \overline{K} \cdot \overline{\Gamma} \cdot \overline{K}$ direction, with key features marked by dashed lines. (d)–(f) Same measurements as (a)–(c) but for Fe₃GaTe₂.

anomalous Hall current [52,53]. Our DFT calculations for the ferromagnetic FM phase of both compounds are shown in Fig. 1(e), where the topological crossings at the K point can be seen. The zero-field-cooled (ZFC) and field-cooled (FC) magnetization measurements [Figs. 1(b) and 1(c)] for the two compounds show a T_C of 210 K for Fe₃GeTe₂ and 360 K for Fe₃GaTe₂, in agreement with previous reports [3,4,11,39,42]. From a comparison of our DFT calculations for the ferromagnetic ground state, Fe₃GaTe₂ is an effective hole-doped version of Fe₃GeTe₂, as the band structure is qualitatively similar except a shifting down of the chemical potential in Fe₃GaTe₂ [Fig. 1(e)].

First, we show in Fig. 1(d) the integrated core-level photoemission spectrum of the two compounds. We also observe clear x-ray magnetic circular dichroism signal at the Fe L edge for both compounds (see SM [49]). As expected, the core level spectra for the two compounds are very similar except the distinct Ge and Ga 3d peaks. Next, we present the electronic structure of the ferromagnetic phase of Fe₃GaTe₂ and Fe₃GeTe₂ as measured by ARPES. The electronic structure of the two compounds near E_F are compared in Fig. 2, as measured by both linear vertical (LV) and linear horizontal (LH) polarized light. Consistent with previous reports on Fe₃GeTe₂ [11], we observe two hole Fermi pockets centered at the $\overline{\Gamma}$ point: an inner circular pocket and an outer hexagonal pocket [Fig. 2(a)]. They are formed by two dispersive bands as observed on the high symmetry cut, and we label them as the α and β bands [Fig. 2(c)]. Additionally, a small electron pocket is observed at the \overline{K} point, which we label as the ω band. From the high symmetry cut, we also observe two other dispersions that do not cross E_F , which we label the ξ and γ bands. For Fe₃GaTe₂, we also observe two hole Fermi pockets at the Γ point [Fig. 2(d)], both with similar shapes but expanded areas as compared to those in Fe₃GeTe₂, indicating additional hole charge carriers in Fe₃GaTe₂ compared to Fe₃GeTe₂. From the high symmetry cut [Fig. 2(f), both the inner α band and the outer β band appear to cross E_F at larger Fermi momenta. The γ band is also observed to shift up in energy compared to that in Fe₃GeTe₂. When we compare the near- E_F measured dispersions with those by DFT calculations, we find that a renormalization factor of 1.6 can achieve a reasonable agreement for both compounds [Figs. 2(b) and 2(e)], including the locations of the hole band tops at $\overline{\Gamma}$ (see SM for discussion [49]). The renormalization factor is consistent with that determined for Fe₃GeTe₂ previously [11], and is slightly larger than that for Fe metal [54,55].

Having examined the electronic structure in the near E_F region, we next present the spectra in the large energy range covering the entire valence bands. Figures 3(a) and 3(b) show the spectra within 6 eV below E_F along the \overline{M} - \overline{K} - $\overline{\Gamma}$ high symmetry direction for both compounds. Visibly, the spectrum is separated into sharp dispersions within 1 eV of E_F and broad spectral intensity in the -2 to -3 eV energy range. As shown in Figs. 3(a) and 3(b), the sharp dispersions marked by the solid lines and the broad spectral intensity indicated by dashed lines exhibit similar dispersion patterns. This is also clearly shown in the stack of energy distribution curves (EDCs) in Figs. 3(c) and 3(d). The broad hump (green markers) largely follows the dispersion and photoemission matrix elements of the sharper bands near E_F (yellow markers), and a clear dip (red arrows) separates the two regimes of sharp quasiparticles and broad spectral weight. Even in this large energy window, it is evident that the overall spectral shape of Fe₃GaTe₂ is shifted up in energy compared to that of Fe₃GeTe₂, consistent with the overall hole doping. To understand the origin of these states, we look at the DFT calculated density of states (DOS) in the ferromagnetic state. Clearly, Fe 3d states dominate the valence bands, with a small contribution by Te and Ge/Ga. To take into consideration the renormalization of the Fe 3d states derived above, we renormalize the Fe partial DOS by 1.6 while leaving the Te and Ge/Ga partial DOS unrenormalized. This results in the spin majority (up) states having a peak near -2 eV and the spin minority (down) states near E_F . This comparison suggests that the sharper quasiparticles and broad hump dichotomy is likely dominated by the spin minority and spin majority states, respectively. This kind of quasiparticle-dip-broad-hump spectral feature has also been reported in other correlated ferromagnets, such as SrRuO₃, which is a metallic ferromagnet where both itinerant electrons and local moments contribute to the magnetism. In



FIG. 3. (a), (b) Large energy range spectra under both LH and LV polarizations for Fe₃GeTe₂ and Fe₃GaTe₂. The solid lines highlight the coherent features near E_F and the dashed lines highlight the corresponding incoherent features. (c), (d) EDCs along the \overline{M} - \overline{K} - $\overline{\Gamma}$ directions for the LV polarization from (a), (b). The green dots track the incoherent peaks and the yellow dots track the coherent peaks. The two features are separated by the dips that marked with red arrows. (e), (f) Projected partial DOS calculated by DFT for Fe₃GeTe₂ and Fe₃GaTe₂. Only the Fe partial DOS is renormalized by a factor of 1.6. The arrows are pointing at the same features in Fe₃GaTe₂ and Fe₃GeTe₂, suggesting that Fe₃GaTe₂ is a hole-doped version of Fe₃GeTe₂.

that case, this quasiparticle–dip–broad-hump spectral feature was also explicitly reported, where strong scattering results in the incoherence of the spin majority states [56,57]. In Fe and Ni metals, the local density approximation (LDA) plus dynamical mean field theory has also captured such a spectral line shape in the single-particle spectral function by including the many-body effects of the 3*d* states [42,54,58].

To examine the role of the near- E_F bands in the ferromagnetism, we carried out a temperature dependence study of the electronic structures for both Fe₃GaTe₂ and Fe₃GeTe₂ across their respective T_C . Figure 4(a) shows the band dispersions of Fe₃GeTe₂ ($T_C = 210$ K) at 50 and 250 K, with additional intermediate temperatures shown in the SM [49]. And Fig. 4(b) displays the band dispersion of Fe₃GaTe₂ ($T_C = 360$ K) along the \overline{M} - \overline{K} - $\overline{\Gamma}$ direction at selected temperatures 50 and 410 K, with additional intermediate temperatures shown in the SM [49]. From those datasets, we can extract the temperature evolution of the bands by extracting the EDCs at specific momenta indicated by the orange and green lines in Figs. 4(a) and 4(b). The detailed EDCs are shown in Figs. 4(c)and 4(f). For Fe₃GeTe₂, the β band is observed to not shift; a small shift of the ξ band is observed across T_C , consistent with previous report [11]. Similar analysis was also carried out for Fe₃GaTe₂. And for Fe₃GaTe₂, there is no noticeable shift in the peak position of the EDCs for both α and ζ bands with increasing temperature through the T_C of 360 K, as shown also from the fitted peak positions in Fig. 4(g). The lack of observable shift of bands across T_C strongly deviates from the expected behavior of itinerant ferromagnets. According to the Stoner model, the exchange splitting is expected to disappear above T_C [59]. For Fe₃GeTe₂ and Fe₃GaTe₂, we can estimate the exchange splitting sizes from DFT calculations to be approximately 1.5 and 1.7 eV, respectively. The expected shift of majority/minority bands would be half of the



FIG. 4. (a), (b) Band dispersions in Fe_3GeTe_2 and Fe_3GaTe_2 above and below their respective T_C 's. The main features are highlighted with dashed lines. (c)–(f) Temperature dependent EDCs, taken at the momenta marked in (a), (b). (g) Fitted peak positions from the EDCs as a function of temperature, with the markers defined as in (c)–(f). The grey dashed lines indicate the estimated band shift from a Stoner model, determined by the DFT exchange splitting and magnetic moment for Fe_3GeTe_2 [11] and magnetization for Fe_3GaTe_2 [39].

exchange splitting divided by the band renormalization factors, resulting in 0.47 and 0.53 eV, respectively. The temperature evolution of the band shift according to the Stoner model can then be estimated by scaling this energy scale to the existing temperature-dependent bulk magnetization in Fe₃GaTe₂ [39] or magnetic moment measured by neutron diffraction for Fe_3GeTe_2 [11,39], which are plotted as the grey dashed lines in Fig. 4(g). We note that for systems whose ferromagnetism is contributed by both itinerant electrons and local moments, partial closing of the exchange splitting is observed across T_C , such as Fe metal [60,61], MnSi [62,63], and $SrRuO_3$ [56,57]. The stark contrast between the expected shift and the observed band shift here suggests that itinerant electrons play a minimal role in the ferromagnetism in Fe₃GeTe₂ and Fe₃GaTe₂. While some finite shift is still observed in Fe₃GeTe₂, we observe no shift in Fe₃GaTe₂, suggesting that local moments play an even more dominant role in Fe₃GaTe₂.

III. CONCLUSION

Taking all the presented evidence together, we come to an understanding of the ferromagnetism of the isostructural Fe₃GaTe₂ ($T_C \sim 360$ K) and Fe₃GeTe₂ ($T_C \sim 210$ K) as the following: while both systems are metallic and exhibit clear Fermi surfaces, the valence band spectral intensity exhibits a quasiparticle–dip–broad-hump feature that seem to indicate non-negligible correlation effects. In addition, the itinerant charge carriers near E_F show minimal modifications across T_C , with Fe₃GaTe₂ showing even less observable changes. This indicates that the large enhancement of T_C in Fe₃GaTe₂ cannot be due to only the change in the itinerant charge carriers and must be a result of the local moments. Our findings therefore demonstrate that the Fe₃GaTe₂ and Fe₃GeTe₂ systems are moderately correlated, and a comprehensive understanding of the magnetism in these Fe-based vdW ferromagnets must take into consideration the manybody interactions of the Fe 3d states.

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